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Applicat			10/674	4,616
Filing Da				mber 29, 2003
First Na				nam Youn
Examine	er Name	·	Tan V	. Mail
Art Unit			2124	
Attorney	Docke	t No	08039	98.P576
		Applica	ant claim	ns small entity status. See 37 CFR 1.27.
METHO				ck all that apply)
<u> </u>	Check		_ Credi	t Card Money Order None Other (please identify)
	Depos	it Accou		at Number : 02-2666
				nt Number : <u>02-2666</u> nt Name:
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	IIIE DII			ndicated below.
	X .			tional fee(s) or underpayment of fee(s) during the pendency of this application.
				ndicated below except for the filing fee
	<u>x</u>			rpayments.
	<u>X</u>		-	or future reply that requires a petition for extension of time should be treated as
				appropriate petition for extension of time and all required fees should be charged.
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				nay become public. Credit card information should not be included on this form.
Provide c	redit ca	rd informa	ation and	authorization on PTO-2038.
FEE CA	LCUL	ATION		·
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Large E	ntitu	Small E	Entity	
Fee	Fee	Fee	Fee	
Code	(\$)	Code	(\$)	Fee Description Fees Paid (\$)
1011	300	2011	150	Utility application filing fee
1111	500	2111	250	Utility search fee \(\) 1,000/500
1311	200	2311	100	Utility examination fee
				,
1012	200	2012	100	Design application filing fee
1112	100	2112	50	Design search fee 430/215
1312	130	2312	65	Design examination fee
1013	200	2013	100	Plant filing fee
1113	300	2113	150	Plant search fee 660/330
1313	160	2313	80	Plant examination fee
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1004	300	2004	150	Reissue filing fee
1114	500	2114	250 °	Reissue search fee 1,400/700
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1005	200	2005	100	Provisional application filing fee
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				SUBTOTAL (1) \$ 0.00
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2. EXCESS CLAIM FEES

	Extra Claims		below_		Fees Paid (\$)
Total Claims	- 20 or HP = total claims paid for, if greater than 20	X	\$50.00	=	
Independent Claims	3 or HP =	X	\$200.00	=	
Multiple Dependent C	independent claims paid for, if greater than 3 claims			=	\$0.00

Ì	<u>Large</u>	Entity	<u>Small I</u>	entity	
	Fee	Fee	Fee	Fee	
	Code	(\$)	Code	(\$)	Fee Description
	1202	50	2202	25	Each claim over 20
	1201	200	2201	100	Each independent claim over 3
	1203	360	2203	180	Multiple dependent claims, if not paid
	1204	200	2204	100	Reissue: each claim over 20 and more than in the original patent
	1205	50	2205	25	Reissue: each independent claim more than in the original patent
	I				•

SUBTOTAL (2) \$ 0.00

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra She		per of each add'l fraction thereof		below	Fees paid (\$)
	- 100 =	/50 =	(round up to whole number)	X	\$250.00	

Large		<u>Small l</u>		
Fee	Fee	Fee	Fee	<u>Fee Description</u> : Application size fee for each additional group of 50 sheets
Code	(\$)	Code	(\$)	beyond initial 100 sheets (count spec & drawings except sequences & program listings):
1081	250	2081	125	Utility
1082	250	2082	125	Design
1083	250	2083	125	Plant
1084	250	2084	125	Reissue

SUBTOTAL (3) \$ 0.00

FEE C	ALCULA	TION (co	ntinued)		
4. <u>O</u>	THER FE	E(S)			
					Fees Paid (\$)
Non-E	nglish Spe	ecification,	\$130 fee	(no small entity discount)	
Large		Small E			
Fee Code	Fee	Fee Code	Fee	Fee Description	
1051	(\$) 130	2051	(\$) 65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1813	8,800	1813	8,800	Request for inter parties reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251 1252	120 450	2251 2252	60 225	Extension for reply within first month Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	500	2452	250 750	Petition to revive – unavoidable	
1453	1,500	2453	750 700	Petition to revive - unintentional	
1501 1502	1,400 800	2501 2502	400	Utility issue fee (or reissue) Design issue fee	
1502	1100	2502	550	Plant issue fee	
1462	400	1462	400	Petitions to the Commissioner (CFR 1.17(f) Group I)	
1463	200	1463	200	Petitions to the Commissioner (CFR 1.17(g) Group II)	
1464	130	1464	130	Petitions'to the Commissioner (CFR 1.17(h) Group III)	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	\$180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	•
1809	790	2809	395	For filing a submission after final rejection	
1003	750	2000	000	(see 37 CFR 1.129(a))	
1814	130	2814	65	Statutory Disclaimer	
1810	790	2810	395	For each additional invention to be examined (see 37 CFR 1.129(b))	
1801	790	2801	395	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design	
4504	000	4504	000	application	
1504 1505	300 300	1504 1505	300 300	Publication fee for early, voluntary, or normal pub. Publication fee for republication	
1803	300 130	1803	130	Request for voluntary publication or republication	
1808	130	1808	130	Processing fee under 37 CFR 1.17(i) (except provisionals)
1454	1,370	1454	1,370	Acceptance of unintentionally delayed claim for priority	
Other	fee (speci	fy)			
Other	fee (speci	fy)			
			·	SUBTOTAL (4) \$1	80.00
		c Filing Fee	Paid		
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• •		ed Name:	Shen	yl Sue Holloway	7.6
Signa	ture:) Date: <u>Brnil 24, 200</u>	0
Reg.	Number:	37,850		Telephone Number: 408-720-8	300

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

APR 2 7 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n Re Application of:

Jeongnam Youn

Application No.: 10/674,616

Filed:

September 29, 2003

For: Method Of Making A Window Type

Decision Based On MDCT Data Audio

Encoding

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

Examiner: Tan V. Mail

Art Unit:

2124

Confirmation No.: 4205

INFORMATION DISCLOSURE STATEMENT

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Some of the art cited herein was cited in an Office Action for co-pending application serial no. 10/674,945, which is assigned to the same assignee as the present application, and which the Examiner may wish to review.

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a searchhas been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

180.00

the appropriate paragraph):	Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is
37 C.F.R. §1.97(b). X 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following: A statement pursuant to 37 C.F.R. §1.97(e) or X A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p). 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following: (1) A statement pursuant to 37 C.F.R. §1.97(e); and (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement. If there are any additional charges, please charge Deposit Account No. 02-2666. Respectfully submitted, BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP Date Shared, 20 ob Sheryl Sue Holloway Reg. No. 37,850 12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8300 I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on H. 24 - O.C. (Date of Deposit)	being submitted under one of the following (as indicated by an "X" to the left of
37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following: A statement pursuant to 37 C.F.R. §1.97(e) or X A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p). 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following: (1) A statement pursuant to 37 C.F.R. §1.97(e); and (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement. If there are any additional charges, please charge Deposit Account No. 02-2666. Respectfully submitted, BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP Date Sheryl Sue Holloway Reg. No. 37,850 12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8300 I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on (Date of Deposit)	the appropriate paragraph):
Disclosure Statement is one of the following: A statement pursuant to 37 C.F.R. §1.97(e) or X A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p). 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following: (1) A statement pursuant to 37 C.F.R. §1.97(e); and (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement. If there are any additional charges, please charge Deposit Account No. 02-2666. Respectfully submitted, BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP Date Seventh Floor Los Angeles, CA 90025 (408) 720-8300 I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on — 1 2 1 0 0 (Date of Deposit)	37 C.F.R. §1.97(b).
X A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p). 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following: (1) A statement pursuant to 37 C.F.R. §1.97(e); and (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement. If there are any additional charges, please charge Deposit Account No. 02-2666. Respectfully submitted, BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP Date Solution Sheryl Sue Holloway Reg. No. 37,850 12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8300 1 hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on (Date of Deposit) Carla Annysia Nascimento	
37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following: (1) A statement pursuant to 37 C.F.R. §1.97(e); and (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement. If there are any additional charges, please charge Deposit Account No. 02-2666. Respectfully submitted, BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP Date Solution, 20 ob Sheryl Sue Holloway Reg. No. 37,850 12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8300 I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on 12 24 00 (Date of Deposit)	A statement pursuant to 37 C.F.R. §1.97(e) or
Disclosure Statement are the following: (1) A statement pursuant to 37 C.F.R. §1.97(e); and (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement. If there are any additional charges, please charge Deposit Account No. 02-2666. Respectfully submitted, BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP Date Solution Sheryl Sue Holloway Reg. No. 37,850 12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8300 I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on (Date of Deposit)	X A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
(2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement. If there are any additional charges, please charge Deposit Account No. 02-2666. Respectfully submitted, BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP Date Sharl Sue Holloway Reg. No. 37,850 12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8300 I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on (Date of Deposit)	
for submission of the Information Disclosure Statement. If there are any additional charges, please charge Deposit Account No. 02-2666. Respectfully submitted, BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP Date Sheryl Sue Holloway Reg. No. 37,850 12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8300 I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on H. 24.00 Carla Arrysia Nascimento	(1) A statement pursuant to 37 C.F.R. §1.97(e); and
Date Source, 20 ob Sheryl Sue Holloway Reg. No. 37,850 12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8300 I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on Carla-Anysia Nascimento	
Respectfully submitted, BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP Date Blazzlf, 20 ob Sheryl Sue Holloway Reg. No. 37,850 12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8300 I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on (Date of Deposit) Carla Anysia Nascimento	If there are any additional charges, please charge Deposit Account No.
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP Date Bould, 20 ob Sheryl Sue Holloway Reg. No. 37,850 12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8300 I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on Carla Anysia Nascimento	02-2666.
Date Sheryl Sue Holloway Reg. No. 37,850 12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8300 I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on (Date of Deposit) Carla Annysia Nascimento	Respectfully submitted,
Sheryl Sue Holloway Reg. No. 37,850 12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8300 I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on 12314-016 Carla-Anysia Nascimento	BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Seventh Floor Los Angeles, CA 90025 (408) 720-8300 I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on	Sheryl Sue Holloway
as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on	Seventh Floor Los Angeles, CA 90025
Carla Anysia Nascimento	as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on 4 24 06.
(Typed or orinted ∧ame/of elerson mailing entrespondence)	Carla Anysia Nascimento
(Signature of person realing correspondence) (Date)	7 7 7 7 4.06

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Substitute f	or Form 1449	/PTO			Complete i	f Known
Dir	: WIFOD	ΙΛΛΔ	TION DISCLOSUR	F	Application Number	10/674,616
OIFE	/ \				Filing Date	September 29, 2003
/	TARE	EME	ENT BY APPLICAN	T	First Named Inventor:	Jeongnam Youn
APR 27	(بنر 5006	(use as	many sheets as necessary)		Art Unit .	2124
\ <u>B</u>	Æ)				Examiner Name	Tan V. Mail
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			II C DATEA	IT DOCUMENTS		
F	O'4 - 41 T		U.3. FAIEN			Bonos Columna Chara
Examiner Initials*	Cite No.		Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant
		Numt	ber-Kind Code ² (If known)			Passages or Relevant Figures Appear
		US-	6,950,794	09-27-2005	Subramaniam, et al.	
		US-	2003/0079222	04-24-2003	Boykin, et al.	
		US-	2003/0083867	05-01-2003	Lopez-Estrada, et al.	
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		FO	REIGN PATENT	DOCUMENTS			
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ³ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Docume	ent Lines, W	Columns, /here Relevant as or Relevant Appear	T ⁶
Examiner Signature				Dat	te Considered		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Substitute for Form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Com	plete if Known	
	*	
Application Number	10/674,616	
Filing Date	September 29, 2003	
First Named Inventor:	Jeongnam Youn	
Art Unit	2124	
Examiner Name	Tan V. Mail	
Attornous Dealsot Nivesbox	000200 D576	

				Examiner Name	I an V. Mail				
Sheet	2	of	2	Attorney Docket Number	080398.P576				
			NON PATENT	LITERATURE DOCUMENTS		_			
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published							
		Encoding", Audi	io Engineering S	T C. FELLERS, "Increased eff Safety, Convention Paper 549 2001, pgs.1-7, New York, Nev	0, Presented at 111 th				
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Examiner Signature	<u></u>				Date Considered				

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, Va 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.